

EXHIBIT A

Period Ended October 31, 2020
CASH RECEIPTS:

Report No. 143

CASH RECEIPTS:													
General Cash Receipts				Net Change for Period		Prior Period Cumulative		Total Received		Cumulative Detail			
				\$20,325,636.34					Customer Fund	General Estate	SIPC	Code	
Beginning Cash Balance				0.00		289,841,911.70		289,841,911.70				4011	
Transfer from Debtor's Estate - Securities				0.00		336,660,934.06		336,660,934.06				4014	
Transfers from Debtor's Estate - BNY Account				0.00		235,156,309.36		235,156,309.36				4016	
Transfers from Debtor's Estate - Chase Account				0.00		4,036,145.08		4,036,145.08				4018	
Transfers from Debtor's Estate - Other				0.00		1,843,180.59		1,843,180.59				4040	
Interest and Dividends				0.00		37,316,297.78		37,316,297.78				4030	
Closeout Proceeds - Broker Dealers				0.00		21,783,082.40		21,783,082.40				4031	
Closeout Proceeds - NSCC				0.00		18,001,787.96		18,001,787.96				4032	
Closeout Proceeds - DTCC				0.00		47.26		47.26				4070	
Sale of Debtor's Assets				0.00		91,037.20		91,037.20				4071	
- Sports Tickets				0.00		7,959,450.94		7,959,450.94				4072	
- Bank Debt Participations				0.00		204,170.51		204,170.51				4073	
- DTCC Shares				0.00		1,419,801.63		1,419,801.63				4075	
- Market Making Business				0.00		795,000.00		795,000.00				4076	
- Abtech				0.00		100,734.60		100,734.60				4077	
- NSX Shares				0.00		6,494,631.95		6,494,631.95				4074	
- BLM Air Charter				0.00		644,579.15		644,579.15				4078	
- Auction Sales				0.00		11,428.57		11,428.57				4079	
- Other				0.00		531,078.49		531,078.49				4111	
Administrative Subtenant Rent Revenue				0.00		(531,078.49)		(531,078.49)				4111a	
Adjusting Administrative Subtenant Rent Revenue				0.00		9,841.45		9,841.45				4091	
Refunds - Deposits				0.00		177,247.15		177,247.15				4092	
- Dues/Subscriptions				0.00		157.00		157.00				4093	
- Car Registrations				0.00		62,451.27		62,451.27				4094	
- Vendors				0.00		833.61		833.61				4095	
- Transit Cards				0.00		442,311.56		442,311.56				4096	
- Insurance/Workers Comp				0.00		144,500.00		144,500.00				4097	
- Ref. - Political Contributions				0.00		50.84		50.84				4099	
- Refunds Other				0.00		112,392,379.79		112,392,379.79				4020	
Recoveries - Customer Avoidances				0.00		1,903,783,597.98		1,903,783,597.98				4021	
- Pre-Litigation Settlements				0.00		11,256,570,955.05		11,261,032,952.85				4022	
- Litigation Settlements				4,461,997.80		875,000.00		875,000.00				4023	
- Donation Settlements				0.00		809,850.39		809,850.39				4024	
- Vendor Preferences				0.00		1,034,311.82		1,034,311.82				4025	
- MSIL Liquidation				0.00		10,674.74		10,674.74				4102	
- Employees				0.00		12,777.56		12,777.56				4103	
- Taxing Authorities				0.00		2,744,695.12		2,744,695.12				4104	
- Class Actions				0.00		308,948.49		308,948.49				4105	
- NASDAQ				0.00		183,683.79		183,683.79				4106	
- NYSE				0.00		96,816.23		96,816.23				4107	
- Transaction Fees				0.00		796,298.73		796,298.73				4109	
- Other				0.00		0.36		0.36				4110	
Miscellaneous				0.00		113,041,428.66		113,067,615.50				4120	
Earnings on Trustee's Investments				26,186.84		7,845,825.72		7,853,890.46				4140	
Interest on Trustee's Savings Accounts				8,064.74		\$14,363,705,168.05		\$14,368,201,417.43					
				\$4,496,249.38									
Administration - Advances				31,269,108.16		1,968,734,179.91		2,000,003,288.07				2901	
Securities - Paid Bank Loans				0.00		0.00		0.00				2921	
- Cash in Lieu				0.00		848,943,412.47		848,943,412.47				2922	
Sub-total SIPC Advances				\$31,269,108.16		\$2,817,677,592.38		\$2,848,946,700.54					
Funds Transferred from Investment Accounts *See Note (2) on Page 3				0.00		12,207,362,944.73		12,207,362,944.73				1901	
Total Cash Receipts				\$35,765,357.54		\$29,388,745,705.16		\$29,424,511,062.70		\$0.00			

Funds Transferred from Investment Accounts *See Note (2) on Page 3

Period Ended October 31, 2020

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CASH DISBURSEMENTS:

Administrative Disbursements

General Administrative Disbursements	Net Change for Period	Prior Period Cumulative	Cumulative Total Paid	Code
Computer - Rental	0.00	11,121.59	11,121.59	5011
- Software Support	0.00	55,159.20	55,159.20	5012
- Equipment Leases	0.00	204,159.01	204,159.01	5013
Employee Related - Salaries-Net	0.00	4,361,844.80	4,361,844.80	5020
- FICA-Employer	0.00	318,550.60	318,550.60	5021
- Fed. & St. Unemploy.	0.00	4,296.08	4,296.08	5023
- Temporary Help	0.00	29,612.50	29,612.50	5024
- Employee Medical Plan	0.00	830,103.99	830,103.99	5025
- Employee LTD	0.00	6,887.03	6,887.03	5026
- Employee Expense Reimbursement	0.00	1,125.87	1,125.87	5027
- Employee Life/AD&D	0.00	9,006.83	9,006.83	5028
- Other	0.00	1,622.90	1,622.90	5029
Insurance - Trustee Bond	0.00	6,600.00	6,600.00	5030
Insurance - Surety & Fidelity Bonds	0.00	37,400.00	37,400.00	5031
Insurance Workers Comp	0.00	12,578.00	12,578.00	5032
- Other	0.00	56,015.45	56,015.45	5039
Fees - Payroll Processing	0.00	8,195.96	8,195.96	5045
Fees - Escrow	0.00	1,221,698.85	1,221,698.85	5046
- Other	0.00	24,168.64	24,168.64	5047
Expenses for Asset Sales	0.00	48,429.09	48,429.09	5048
Rent - Office	0.00	3,987,347.17	3,987,347.17	5050
- Adjustment for Administrative Subtenant Rent Revenue	0.00	(531,078.49)	(531,078.49)	5050a
- Equipment	0.00	1,695.89	1,695.89	5051
- Warehouse	19,441.05	2,191,877.73	2,211,318.78	5052
- Bulova	0.00	310,130.75	310,130.75	5053
- Other	0.00	69,725.61	69,725.61	5059
Costs - Vacating 885 Third Avenue	0.00	20,179.46	20,179.46	5111
Telephone and Telegraph	0.00	360,456.68	360,456.68	5060
Communication Fees	0.00	670,057.02	670,057.02	5061
Utilities - Electricity	311.65	53,796.21	54,107.86	5070
Office Supplies & Expense - Maint. & Repairs	0.00	79,338.86	79,338.86	5080
- Moving & Storage	2,346.43	501,924.50	504,270.93	5081
- Postage/Handling/Preparation	0.00	40,961.12	40,961.12	5082
- Reproduction	0.00	183,889.65	183,889.65	5083
- Locksmith	0.00	5,811.39	5,811.39	5084
- Security	0.00	249,897.70	249,897.70	5085
- Supplies	0.00	3,865.31	3,865.31	5086
- Temporary Help	0.00	4,588,642.69	4,588,642.69	5087
- Process Server - Complaint	0.00	244,026.52	244,026.52	5088
- Other	0.00	36,250.63	36,250.63	5089
Taxes	0.00	555.51	555.51	5090
NYC Commercial Rent Tax	0.00	154,269.47	154,269.47	5091
Claims Related Costs - Mailing Costs	0.00	23,053.28	23,053.28	5101
- Publication	0.00	163,961.13	163,961.13	5102
- Supplies	0.00	16,244.58	16,244.58	5103
- Printing	0.00	2,207.42	2,207.42	5104
Court Related Noticing - Postage/Handling/Preparation *See Note (1) Below	0.00	0.00	0.00	5106
- Reproduction	0.00	0.00	0.00	5107
- Supplies	0.00	0.00	0.00	5108
Scanning - Investigation	0.00	5,189,846.75	5,189,846.75	5110
Foreign Research	0.00	38,975.00	38,975.00	5112
Miscellaneous	0.00	666.91	666.91	5115
Hosting Expense	979,287.41	56,714,924.13	57,694,211.54	5244
Sub-total General Admin. Disbursements	\$1,001,386.54	\$82,622,076.97	\$83,623,463.51	
<u>Professional Fees and Expenses</u>				
Trustee Fees	0.00	4,377,662.10	4,377,662.10	5200
Trustee Expenses	0.00	2,549.25	2,549.25	5201
Trustee Counsel Fees (Baker)	11,476,717.29	1,225,957,163.02	1,237,433,880.31	5210
Trustee Counsel Expenses (Baker)	141,013.36	19,060,894.79	19,201,908.15	5211
Trustee Counsel Fees (Windels)	0.00	70,599,165.80	70,599,165.80	5212
Trustee Counsel Expenses (Windels)	0.00	726,015.60	726,015.60	5213
Special Counsel Fees	16,567.97	95,280,278.90	95,296,846.87	5220
Special Counsel Expenses	0.00	14,909,154.42	14,909,154.42	5221
Accountant Fees	0.00	0.00	0.00	5230
Accountant Expenses	0.00	0.00	0.00	5231
Consultant Fees	2,748,381.02	454,487,545.92	457,235,926.94	5240
Consultant Expenses *See Note (1) Below	132,376.28	20,423,079.53	20,555,455.81	5241
Investment Banker Fees	0.00	1,050,000.00	1,050,000.00	5242
Sales Tax	33,609.68	1,692,954.97	1,726,564.65	5243
Mediator Fees	7,956.00	4,650,406.42	4,658,362.42	5245
Mediator Expenses	0.00	14,091.00	14,091.00	5246
Receiver Counsel Fees	0.00	300,000.00	300,000.00	5260
Receiver Counsel Expenses	0.00	6,449.08	6,449.08	5261
Receiver's Consultants Fees	0.00	316,000.00	316,000.00	5262
Receiver's Consultants Expenses	0.00	15,000.00	15,000.00	5263
Sub-total Professional Fees and Expenses	\$14,556,621.60	\$1,913,868,410.80	\$1,928,425,032.40	
Total Administrative Disbursements	\$15,558,008.14	\$1,996,490,487.77	\$2,012,048,495.91	

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Period Ended October 31, 2020

CASH DISBURSEMENTS:				Cumulative Totals			
Claim Related Disbursements							
Net Change for Period	Prior Period Cumulative	Total Paid	Code	Customer Fund	General Estate	SIPC	Code
	\$	\$					
Customer - Paid Bank Loan	0.00	13,931,034,676.52	6021	13,082,091,264.05		848,943,412.47	6022
- Securities - Cash in Lieu			6023				6023
- Securities - Purchases			6031				6031
- Indemnification			6041				6041
- Cash Balance			6050				6050
Customer -			6060				6060
Customer -			6000				6000
Customer - Trustee Journal Entry			6111				6111
per Allocation			6121				6121
Other - Contractual Commitments			6131				6131
- Pd. Bank Loan			6140				6140
- Indemnification			6150				6150
Other -			6160				6160
Other -							
Other -							
Other -							
Other - Trustee Journal Entry							
per Allocation							
General Creditor							
Sub-total Claim Disbursements	\$0.00	\$13,931,034,676.52		\$13,082,091,264.05	\$0.00	\$848,943,412.47	
Other Disbursements (except investments)							
SIPC - Refunds - Recoupment			6301				6301
- Indemnification			6310				6310
- Contr. Commitments			6321				6321
- Paid Bank Loan			6322	237,501,714.51			6322
- Subrogation	0.00	237,501,714.51					6400
Other -			6401				6401
Other -			6402				6402
Other -			6403				6403
Other -			6404				6404
Sub-total Other Disbursements	\$0.00	\$237,501,714.51		\$237,501,714.51	\$0.00	\$0.00	
Investments by Trustee - Purchases *See Note (2) Below	\$34,251.58	\$13,203,393,190.02		\$13,203,427,441.60			1900
Sub-total Administrative Disb. - page 2	\$15,558,008.14	\$1,996,490,487.77		\$2,012,048,495.91	\$0.00	\$2,012,048,495.91	
Total Disbursements	\$15,592,259.72	\$29,368,420,068.82		\$13,319,592,978.56	\$0.00	\$2,860,991,908.38	
Total Receipts less Disbursements	\$20,173,097.82	\$20,325,636.34		\$1,048,608,438.87	\$0.00	(\$12,045,207.84)	
Ending Cash Balance *See Note (3) Below	\$40,498,734.16						

* Note (2) Two preferred custody accounts and an insured money market account have been established at Citibank for investment purposes and additional investment accounts are maintained at JP Morgan Chase and Goldman Sachs. The Goldman Sachs Account was established in December 2016 in connection with the Chais Settlement. A Broker's account, which was previously established at Morgan Joseph, was closed in January 2012. Since January 20, 2009, \$13,203,427,441.60 of recovered funds have been transferred into these investment accounts and \$12,207,362,944.73 of these funds have subsequently been used for interim distributions to customers with allowed claims and for operations. (See Page 5 for more details).

* Note (3) The ending cash balance includes a \$ 39,723,712.64 balance in the Citibank Business Checking Account and \$ 775,021.52 in the Citibank Distribution Account.

Period Ended October 31, 2020

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SUMMARY INFORMATION ON STATUS OF LIQUIDATION

	Customer Claimants	Broker/Dealer Claimants	General Estate Claimants
Claims received	16,521	49	95
Claims satisfied by distribution of cash and/or securities:			
a. As part of the transfer in bulk			
b. On an account by account basis-Fully Satisfied	1,702		
c. On an account by account basis-Partially Satisfied	950		
	2,652	-	-
Claims Determined - no claims	12		
Claims Deemed Determined - pending litigation	11		
Claims Determined - withdrawn	417		
Claims Determined but not yet satisfied	1		
Claims under review	-	49	95
Claims Denied:			
a. Other Denials for which no objections were filed	10,244		
b. Denials for which objections were filed:			
- Trustee's Determinations Affirmed	2,916		
- Hearing not yet set	264		
- Set for Hearing	4		
	13,869	49	95

Filing Date Value

Customer name securities distributed
Customer fund securities distributed

\$

Irving H. Picard / by Denis O'Connor
(Trustee's Signature)

11/12/20
(Date)

William Kingsford
(Accountant's Signature)

11/12/2020
(Date)

Period Ended October 31, 2020

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IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC
Investment Accounts

	Citibank Preferred Custody Account			Citibank	
	Cash Assets/Mutual Funds (5)*	U.S. Treasury Bills (4)*	U.S. Treasury Notes (4)*	IMMA Account	Total Citibank
				Account Balance (5)*	
Balance September 30, 2020					
Maturing of U.S. Treasury Bills	121,893,297	196,895,021	-	1,002	318,789,320
Purchase of U.S. Treasury Bills	55,704,029	(55,704,029)	-	-	-
Sales of Equity Securities	(55,719,435)	55,719,435	-	-	-
Realized Gains (Losses)	10,996			10,996	10,996
Unrealized Gain or (Loss)		4,049		4,049	4,049
Interest and Dividends Earned					
Interest	1002			34	1,036
Dividends					8,064
Transfer of Funds from an Escrow Account					
Transfer of Funds to the Citibank IMMA Account					
Transfer of Funds from the Citibank Operating Account					
Balance October 31, 2020	121,889,889	196,914,476	-	1,036	318,805,401
					103,210,220
					422,015,621
Balance September 30, 2020					
Distributions Received	19,517,710	3,057,944	22,575,654		56,472
Annual Custody Fee			-		-
Sale of Securities			-		-
Realized Gain on the Sale of Securities					
Unrealized Gain or (Loss)	34	20,152	20,186		
Interest and Dividends Earned	1,063		1,063		
Balance October 31, 2020	19,518,807	3,078,096	22,596,903		56,472
					56,472

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*See Footnotes (4) and (5) on Page 6

Period Ended October 31, 2020

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IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC
Investment Accounts-Continued

	JP Morgan Chase					U.S. Treasury Fund		U.S. Treasury Bills (4)	Account Balance
	Cash/Commercial (5)	Blackrock (5)	U.S. Treasury Fund	Goldman Sachs (5)	JP Morgan Chase (5)	JP Morgan Chase (5)	JP Morgan Chase (5)		
Cash/Escrow Fund (5)									
2,802	100,044,864	-	-	209,984,329	100,019,670	141,016,076	551,067,741		
Balance September 30, 2020									
Maturing of U.S. Treasury Bills									
Purchase of U.S. Treasury Bills									
Realized Gain on the Sale of Securities									
Unrealized Gain or (Loss)									
Interest and Dividends Earned									
Transfer of Funds from Treasury Funds									
Balance October 31, 2020	2,802	100,055,905	-	209,985,416	100,020,667	141,027,359	551,092,149		

* Note (4) The summation of U.S. Treasury Bills is \$337,942,871.

* Note (5) The summation of these short-term investments, money market funds, IMMA, certificates of deposit, mutual fund accounts, accrued interest and other investments, including alternative investments, is \$657,818,274.

Period Ended October 31, 2020

Report No. 143

**IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC
Consultant Expenses for Court Related Noticing and Interim Distributions**

	Net Change for Period	Prior Period Cumulative	Cumulative Total Paid
Postage / Handling / Preparation	390.75	541,201.81	541,592.56
Printing	0.00	44,945.40	44,945.40
Reproduction Costs	0.00	762,418.30	762,418.30
Supplies	266.22	98,493.66	98,759.88
Total *See Note Below	<u><u>\$656.97</u></u>	<u><u>\$1,447,059.17</u></u>	<u><u>\$1,447,716.14</u></u>

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*Note: All of the expenses above were incurred by consultants in connection with court related noticing procedures and Interim Distributions, which are included in the Consultant Expenses line (Account #5241) on Page 2 of the SIPC Form 17.